



UNITED STATES PATENT AND TRADEMARK OFFICE

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/815,261	03/22/2001	Kelly D. Branham	11302-1160 (44040-256044)

CONFIRMATION NO. 6495

FORMALITIES LETTER



\*OC000000006032624\*

Robert E. Richards, Esq.  
KILPATRICK STOCKTON LLP  
2400 Monarch Tower  
3424 Peachtree Road, N.E.  
Atlanta, GA 30326

Date Mailed: 05/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$938.
  - \$378 for 21 total claims over 20.
  - \$560 for 7 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1778.

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88888  
710.00  
778.00  
130.00  
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08/06/2001 NYUSUF1 00000056 09815261  
01 FC:101  
02 FC:103  
03 FC:102  
04 FC:105

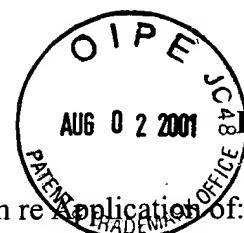
*A copy of this notice **MUST** be returned with the reply.*

*Robert E. Richards*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



AUG 02 2001 IN THE U.S. PATENT AND TRADEMARK OFFICE

#3 Sector 5  
PATENTS

In re Application of: BRANHAM ET AL.

Docket No. 11302-1160 (44040-256044)

Serial No. 09/815,261

Filed: March 22, 2001

For: WATER-DISPERSIBLE, CATIONIC POLYMERS, A METHOD OF  
MAKING SAME AND ITEMS USING SAME

### **RESPONSE TO NOTICE TO FILE MISSING PARTS – FILING DATE GRANTED**

Attention: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231  
Sir:

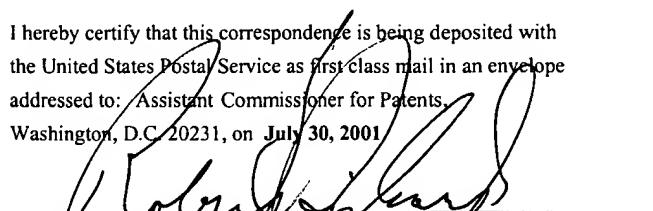
Transmitted herewith are papers in the above-identified application.

- Formalities Letter – Notice to File Missing Parts of Application – Filing Date Granted.
- Declaration and Power of Attorney (3 pages).
- Petition for Extension of Time Under 37 C.F.R. § 1.136.
- The additional fee is calculated as shown below.
- Applicant claims small entity status.

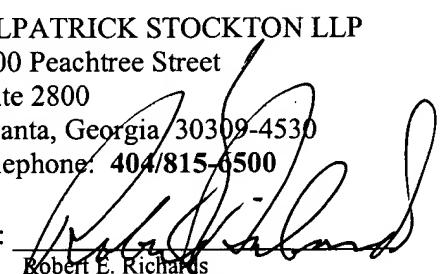
FOR:	NO. FILED	NO. EXTRA	RATE	Fee	RATE	Fee
BASIC FEE			\$355		\$710	710
TOTAL CLAIMS	41 - 20 =	21	x9 =		x18 =	378
INDEP. CLAIMS	10 - 3 =	7	x40 =		x80 =	560
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+135 =		+270 =	
<input checked="" type="checkbox"/> LATE-FILING SURCHARGE			+65 =		+130 =	130
<input type="checkbox"/> FOREIGN LANGUAGE SURCHARGE			+130 =		+130 =	
<input type="checkbox"/> OTHER					+435 =	
				TOTAL		TOTAL \$1,778

- A check in the amount of \$1,778 is attached for the filing fee.
- A check in the amount of \$110 is attached for the extension of time.
- The Commissioner is hereby authorized to charge any additional fees required under 37 CFR § 1.16, or credit any overpayment, to Account No. 11-0855. A duplicate copy of this sheet is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on July 30, 2001.

  
Robert E. Richards

KILPATRICK STOCKTON LLP  
1100 Peachtree Street  
Suite 2800  
Atlanta, Georgia 30309-4530  
Telephone: 404/815-6500

By: 

Robert E. Richards  
Attorney for Applicant  
Reg. No. 29,105